

Serial No. 09/535,629



PATENT
Attorney Docket No.: P00770-US

#11/B
Casper
2/20/03

CERTIFICATE OF MAILING

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By 
certifier

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant : SCOTT
Serial No. : 09/535,629
Filed : March 24, 2000
Title : ENCAPSULATED OPTOELECTRONIC DEVICES WITH
CONTROLLED PROPERTIES
Examiner : NGUYEN, T. Art Unit: 2828

Assistant Commissioner for Patents
Washington DC 20231

AMENDMENT

Sir:

Responsive to the Official Action dated August 9, 2002, please amend the above-identified patent application as follows:

02/12/2003 CCHAU1 00000184 020900 09535629
02 FC:1201 84.00 CH

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28 28
 2/20/03
 [Handwritten initials]

**COMBINED AMENDMENT & PETITION FOR EXTENSION OF
TIME UNDER 37 CFR 1.136(a) (Large Entity)**

Docket No.
P00770-US

In Re Application Of: SCOTT

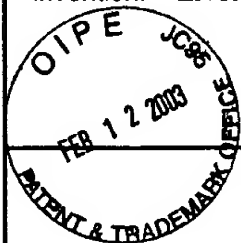
Serial No.
09/535,629

Filing Date
March 24, 2000

Examiner
Nguyen, T.

Group Art Unit
2828

Invention: **ENCAPSULATED OPTOELECTRONIC DEVICES WITH CONTROLLED PROPERTIES**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a combined amendment and petition under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of August 9, 2002 in the above-identified application.
Date

The requested extension is as follows (check time period desired):

☐ One month ☐ Two months ☒ Three months ☐ Four months ☐ Five months

from: November 9, 2002
Date

until: February 9, 2003
Date

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The fee for the amendment and extension of time has been calculated as shown below:

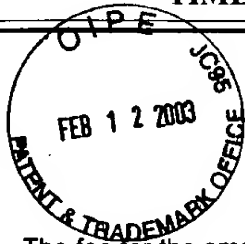
CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	28 -	44 =	0	x \$18.00	\$0.00
INDEP. CLAIMS	6 -	5 =	1	x \$84.00	\$84.00
FEE FOR AMENDMENT					\$84.00
FEE FOR EXTENSION OF TIME					\$930.00
TOTAL FEE FOR AMENDMENT AND EXTENSION OF TIME					\$1,014.00

02/18/2003 CCHAU1 00000184 020900 09535629
 01 FC:1253 930.00 CH

**COMBINED AMENDMENT & PETITION FOR EXTENSION OF
TIME UNDER 37 CFR 1.136(a) (Large Entity)**

Docket No.
P00770-US



The fee for the amendment and extension of time is to be paid as follows:

- ☐ A check in the amount of **\$1,014.00** for the amendment and extension of time is enclosed.
- ☒ Please charge Deposit Account No. **020900** in the amount of **\$1,014.00**
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **020900** A duplicate copy of this sheet is enclosed.

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FEB 13 2003
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Signature

Dated: **February 7, 2003**

Stephen J. Holmes
Barlow, Josephs & Holmes
101 Dyer Street
5th Floor
Providence, RI 02903
401 273 4446 (tel)
401 273 4447 (fax)

sjh@barjos.com

cc:

I certify that this document and fee is being deposited
on **February 7, 2003** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Stephen J. Holmes

Typed or Printed Name of Person Mailing Correspondence



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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$1,014.00

Complete if Known

Application Number	09/535,629
Filing Date	MARCH 24, 2000
First Named Inventor	SCOTT
Examiner Name	Nguyen, T.
Group Art Unit	2828
Attorney Docket No.	P00770-US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

020900

Deposit
Account
Name

Barlow Josephs & Holmes

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent Claims		-20** =	0	X	84.00	84.00
Multiple Dependent		-3** =	1	X		

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) \$84.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	930.00
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(c)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$930.00

SUBMITTED BY

Name (Print/Type)	Stephen J. Holmes	Registration No. (Attorney/Agent)	34,621	Telephone	401 273 4446
Signature		Date	February 7, 2003		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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